



UWL REPOSITORY

repository.uwl.ac.uk

Review of Nunn's 'After Placebo: in medical research and clinical practice'

Locher, Cosima, Gaab, Jens, Loughlin, Michael ORCID: <https://orcid.org/0000-0002-2234-2146> and Blease, Charlotte (2018) Review of Nunn's 'After Placebo: in medical research and clinical practice'. Journal Of Evaluation In Clinical Practice, 24 (5). ISSN 1356-1294

<http://dx.doi.org/10.1111/jep.12888>

This is the Accepted Version of the final output.

UWL repository link: <https://repository.uwl.ac.uk/id/eprint/5343/>

Alternative formats: If you require this document in an alternative format, please contact: open.research@uwl.ac.uk

Copyright:

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy: If you believe that this document breaches copyright, please contact us at open.research@uwl.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.

Book Review
After Placebo: In Medical Research and Clinical Practice

| | |
|-------------------------------|---|
| Journal: | <i>Journal of Evaluation in Clinical Practice</i> |
| Manuscript ID | JECP-2018-0003 |
| Wiley - Manuscript type: | Book Review |
| Date Submitted by the Author: | 04-Jan-2018 |
| Complete List of Authors: | Locher, Cosima; University of Basel, Department of Psychology, Division of Clinical Psychology and Psychotherapy Gaab, Jens; University of Basel, Department of Psychology, Division of Clinical Psychology and Psychotherapy Loughlin, Michael; Canterbury Christ Church University, England Centre for Practice Development Blease, Charlotte; Beth Israel Deaconess Medical Center/Harvard Medical School, General Medicine and Primary Care; University College Dublin, School of Psychology |
| Keywords: | |
| Abstract: | |
| | |

Book Review

After Placebo: In Medical Research and Clinical Practice

R. Nunn, Arjana Media (Eds), 2015.

Cosima Locher^{1,5}, Jens Gaab¹, Michael Loughlin², Charlotte Blease^{3,4}

¹ Department of Psychology, Division of Clinical Psychology and Psychotherapy, University of Basel, Switzerland

² England Centre for Practice Development, Canterbury Christ Church University, UK

³ General Medicine and Primary Care, Beth Israel Deaconess Medical Center/Harvard Medical School

⁴ School of Psychology, University College Dublin, Ireland

⁵ Address for correspondence: Dr. phil. Locher, Cosima, Department of Clinical Psychology and Psychotherapy, University of Basel, Missionsstrasse 62a, 4055 Basel, Switzerland. Email: cosima.locher@unibas.ch

Book Review

After Placebo: In Medical Research and Clinical Practice

R. Nunn, Arjana Media (Eds), 2015.

For peer review only

RUNNING HEAD: BOOK REVIEW AFTER PLACEBO**Introduction**

Despite the claim that they are powerful [1] and the growing scientific interest – PubMed lists 31'259 scientific articles on this topic – Robin Nunn argues that this large and growing body of research has not succeeded in providing generally accepted answers to the core question: What exactly is a placebo and is there anything that deserves this descriptor?

Building on his earlier work [2-4], Nunn argues in his book *After placebo: In Medical Research and Clinical Practice* that it is time to abandon the disputed placebo construct. New terms, he argues, are needed to replace it in order to better advance medical research and clinical practice alike. While Nunn admits there are possible negative consequences (since “not knowing and not being able to explain” placebo effects may be uncomfortable” (p.35)) he estimates that science will be expedited as a result of discarding placebo terminology. Nunn presents us with a challenging and interesting perspective that invites consideration by both clinicians and researchers. But is it warranted?

Nunn's criticism of the placebo concept is based on several empirical and theoretical arguments. In the introduction, he states that it is not his intention to solve all definitional problems associated with the term placebo, but rather to promote the rationale for, and confidence in, abandoning it. The term placebo is depicted as a conceptual habit which was useful in the past but which has now lost its purpose and justification. This is exemplified in the second chapter, as a parade of dated and more recent placebo definitions is elegantly summarized, including the usual suspects from Shapiro and Shapiro [5], Grunbaum [6], Brody [7] and Harrington [8]. In the following chapter, the often rather unspectacular reasons underlying often rather spectacular cases is illustrated by the case of Mr. Right, who was presumed cured by the intake of a placebo. Nunn's use of the story highlights that the so-called powerful placebo may not be responsible for curing Mr. Right. Rather, his experience of clinical change may have been owed to more mundane phenomena such as spontaneous remission or regression to the mean. In subsequent chapters, Nunn aptly deconstructs not only the semantic absurdity of considering the placebo as something *inert*, yet at the same time *powerful*, he also describes the challenges of proving its effects in meta-analyses (e.g., Hróbjartsson & Gøtzsche [9]) and surveys the responses which argue that these analyses are flawed [10-12]. His tour de force analysis underlines the fact that almost any substance can be a placebo, that placebo(-like) effects can even be observed in the absence of the administration of placebos, and that placebos can be part of almost any treatment for any condition.

So, how does this concept fare in reality? Not surprisingly, Nunn's verdict is clear: He argues that the logic of placebo definitions is circular and reductive. Current “linear models ignore concepts of sensitivity to initial conditions, non-linear dynamics, self-reference and feedback [...]” (p.65). These problems are seen as the

RUNNING HEAD: BOOK REVIEW AFTER PLACEBO

result of the prevailing scientific approach to placebo: he describes it as a “circular logic”, since improvements in patients who receive inert treatments are defined as placebo effects, so that observed improvements in patients who received inert treatment are then perceived as placebo effects (p.89). These logical problems are not eradicated by advocating a linguistic alternative, such as shifting from placebo language to “meaning responses” [13] or “context effects” [14]. Nunn argues that these terms are associated with similar conceptual problems and leave us with the same challenges: they are, as he puts it, “black boxes within black boxes” (p. 105). He closes his critique with the statement that medicine is rife with unexplained symptoms and unexplained cures; he concludes that it would be more honest to declare that the reasons, in all these cases, are either unknown or poorly understood. According to Nunn, the attempt to elucidate the reasons for symptom alleviation (or indeed, worsening) is not advanced by the current approach of so-called blinding, as a significant proportion of interventions are too different to be appropriately interchangeable, while others cannot be faked. In conclusion, he argues “instead of saying it’s a double-blind experiment with placebo control, say that you are trying to set the same beliefs and expectations in everyone while giving them different treatments” (p.138).

The book moves on to advocate an alternative “*After Placebo*” era, replete with placebo-free laws, guidelines, regulations and medical trainings which, Nunn argues, will offer new implications and opportunities for medicine and health. Placebo-free regulations, for example, will not use the term “placebo-controlled study”, yet rather describe the purpose of a clinical investigation which “is to compare the safety and effectiveness of a drug or procedure with reasonable alternatives appropriate in the circumstances” (p.164). The overarching goal is to build a new post-placebo paradigm “so that in future we won’t even see the old structure, as today’s medicine does not see the structures of ancient medicine” (p.140). In these sections Nunn develops his earlier and tantalizingly brief forays in criticising the placebo concept [2,3].

All in all, we can agree with Nunn that he is certainly justified in questioning the legitimacy of the current placebo construct and makes a strong case for eliminating and replacing that concept with the notion of, “an intervention intended to influence the patient’s beliefs, hopes and expectations” (p. 163). Nunn’s point of view is refreshingly original in the long, somewhat protracted debate about terminology in placebo studies.

But one is left wondering: is it really necessary to eradicate the term placebo? A number of omissions in Nunn’s book lead to an oversimplified depiction of placebo research. For example, Nunn argues that “we should also consider the provider’s expectation and conditioning and the collaboration between patient and provider” (p.80). However, this has been done, for example in a recent paper investigating neural correlates of physicians during treatment of patients, with the impressive conclusion that physicians activate similar brain regions during

RUNNING HEAD: BOOK REVIEW AFTER PLACEBO

the treatment of a patient [15]. Further, Nunn states that placebo theory is dominated by talk of expectation and conditioning while “conditioning is conceived as an unconscious process, in contrast to expectation that is deemed to be conscious” (p.78). However, other models – such as Bayesian models of perceptual decision – are becoming established as an innovative framework in placebo research [16], while recent investigations reveal the acquisition of unconscious expectancies [17]. So, in this respect, Nunn has failed to keep abreast of nuanced conceptual change within the field.

Finally, the book highlights some shortcomings of adequate control conditions. His points on these shortcomings are valid though not original, as they have been repeatedly emphasized in placebo research (e.g., Blease, Bishop, & Kaptchuk [18]; Howick [19]; Turner [20]). In addition, some points of criticism on the current control conditions may be questionable. For example, Nunn writes that, “[a] waiting list also implies that they are waiting for something. The presence of interested experts, the hope, the expectation, the possibility of treatment after the waiting period [...]” (p. 74). On the contrary, meta-analyses reveal that waiting lists do not enhance expectations, but rather induce nocebo effects [21].

On a more general level, one should keep in mind that the placebo concept also has an ethical dimension since in clinical trials, and more troublingly, when administered, in clinical contexts, it typically involves deception. Nunn addresses this issue concluding that, “deception in relation to placebo refers only to some bending of truth. Deciding where the line is, and adjudicating what is outside the line and what gets in, again illustrates the difficulty of dividing the world into placebos and non-placebos” (p. 159). While Nunn relativizes deception on the grounds that “no single rule applies for all people in all situations, such as do not lie or deceive, do tell the truth, the whole truth and nothing but the truth” (p. 155), his analysis here is unconvincing. The overarching duty to inform patients in a transparent manner and to provide truthful disclosure is paramount in clinical practice [18]. This is in line with a deontological normative ethical framework, where it is argued that deception infringes patient autonomy – regardless of potential health gain [22]. Indeed, we must not lose sight of patients’ right to autonomous decision making –even in a post-placebo world. It is important to emphasize that this ethical sentiment has been a leitmotif of recent open-label placebo research which advocates the imperative of providing adequate information disclosure for patients [23]. On a related point, Nunn urges, “it is curious that informing patients about the distinction between deemed active ingredients and inactive placebos matters more than information about physicians themselves [...]” (p. 159). Here again, some placebo researchers have bitten the bullet and argued that there ought to be disclosure of information about the influence of physicians (and indeed other healthcare practitioners) in mediating health outcomes (and placebo effects) [22,24].

Nunn’s *After Placebo* succeeds by stimulating valuable questions and analysis of the current placebo

RUNNING HEAD: BOOK REVIEW AFTER PLACEBO

construct – an important, reasonable and necessary undertaking. Nunn's writing is engaging and evocative, and *After Placebo* is a worthwhile, accessible read suitable for an interdisciplinary healthcare audience. Large portions of this work function as a critique of the placebo construct not just in medical research, but also in clinical practice. Nevertheless, Nunn's line of argument might not yet be convincing enough to justify the total elimination of placebo terminology. Precisely because the concept of placebo is entrenched in clinical practice, and because it is heterogeneous and broadly diversified, it lends itself to interpretation and discussion – thus fostering progressive, new insights and findings.

At the beginning of the book, Nunn states, "I will have achieved my purpose if you [...] are at least persuaded that placebo must be considered from more than one viewpoint and that there is no single viewpoint that can ever make sense of placebo" (p.7). We can surely agree that he accomplishes this aim.

References

1. Beecher HK. The powerful placebo. *JAMA*. 1955;159(17):1602-1606.
2. Nunn R. It's time to put the placebo out of our misery. *BMJ*. 2009;338.
3. Nunn R. Placebo effects without placebos? More reason to abandon the paradoxical placebo. *Am J Bioeth*. 2009;9(12):50-52.
4. Nunn R. Preparing for a Post-Placebo Paradigm: Ethics and Choice of Control in Clinical Trials. *Am J Bioeth*. 2009;9(9):51-52.
5. Shapiro AK, Shapiro E. The powerful placebo: From ancient priest to modern physician. 2000.
6. Grunbaum A. The placebo concept. *Behav Res Ther*. 1981;19(2):157-167.
7. Brody H. Placebo effect: an examination of Grunbaum's definition. *Placebo: Theory, research, and mechanisms*. 1985:36-58.
8. Harrington A. The many meanings of the placebo effect: Where they came from, why they matter. *Biosocieties*. 2006;1(2):181-193.
9. Hróbjartsson A, Gøtzsche PC. Is the placebo powerless? *N Engl J Med*. 2001;344(21):1594-1602.
10. Benedetti F. *Placebo effects*. 2nd ed. New York, NY: Oxford University Press; 2014.
11. Miller FG, Rosenstein DL. The nature and power of the placebo effect. *J Clin Epidemiol*. 2006;59(4):331-335.
12. Wampold BE, Minami T, Tierney SC, Baskin TW, Bhati KS. The placebo is powerful: Estimating placebo effects in medicine and psychotherapy from randomized clinical trials. *J Clin Psychol*. 2005;61(7):835-854.
13. Moerman DE, Jonas WB. Deconstructing the placebo effect and finding the meaning response. *Ann Intern Med*. 2002;136(6):471-476.
14. Di Blasi Z, Harkness E, Ernst E, Georgiou A, Kleijnen J. Influence of context effects on health outcomes: A systematic review. *Lancet*. 2001;357(9258):757-762.
15. Jensen KB, Petrovic P, Kerr CE, et al. Sharing pain and relief: neural correlates of physicians during treatment of patients. *Mol Psychiatry*. 2014;19(3):392-398.
16. Geuter S, Koban L, Wager TD. The Cognitive Neuroscience of Placebo Effects: Concepts, Predictions, and Physiology. *Annu Rev Neurosci*. 2017;40:167-188.
17. Kirsch I, Kong J, Sadler P, et al. Expectancy and Conditioning in Placebo Analgesia: Separate or Connected Processes? *Psychol Conscious (Wash D C)*. 2014;1(1):51-59.
18. Blease C, Bishop FL, Kaptchuk TJ. Informed consent and clinical trials: where is the placebo effect? *BMJ*. 2017;356.
19. Howick JH. *The philosophy of evidence-based medicine*. John Wiley & Sons; 2011.
20. Turner A. 'Placebos' and the logic of placebo comparison. *Biol Philos*. 2012;27(3):419-432.
21. Furukawa TA, Noma H, Caldwell DM, et al. Waiting list may be a nocebo condition in psychotherapy trials: A contribution from network meta-analysis. *Acta Psychiatr Scand*. 2014;130(3):181-192.
22. Gaab J, Blease C, Locher C, Gerger H. Go open: A plea for transparency in psychotherapy. *Psychol Conscious (Wash D C)*. 2016;3(3):175-189.
23. Blease C, Colloca L, Kaptchuk TJ. Are open-label placebos ethical? Informed consent and ethical equivocations. *Bioethics*. 2016;30(6):407-414.
24. Blease C. The principle of parity: The 'placebo effect' and physician communication. *J Med Ethics*. 2012;38(4):199-203.